

Sheet \_ of 2

FORM PTO-1449		U.S.DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 36287-04404	SERIAL NO. 10/723,264
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)				APPLICANT Gray et al.	
				FILING DATE November 26, 2003	GROUP ART UNIT 3694

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,269,346	7-2001	Cristofich et al			
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		Applications of random walkers to Stock Pricing, <a href="http://www.math.mit.edu/stocker/18354.d/financial.pdf">www.math.mit.edu/stocker/18354.d/financial.pdf</a> ; Spring 2004
		Transferable Stock Options: Compensation for the 21st Century, David A. Seaman, Workspan, May 2004, 47(5) pages 64-71
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Menachem Brenner, Rangarajan K. Sundaram and David Yermack, Altering the terms of executive stock options, 2000, Journal of Financial and Economics, 57, pages 103-128

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